

# An epidemiological appraisal of the association between heart rate variability and particulate air pollution: a meta-analysis

Nicky Pieters,<sup>1</sup> Michelle Plusquin,<sup>1</sup> Bianca Cox,<sup>1</sup> Michal Kicinski,<sup>1</sup> Jaco Vangronsveld,<sup>1</sup> Tim S Nawrot<sup>1,2</sup>

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<sup>1</sup>Centre for Environmental Sciences, Hasselt University, Diepenbeek, Belgium

<sup>2</sup>Department of Public Health, Occupational & Environmental Medicine, Leuven, Belgium

## Correspondence to

Professor Tim Nawrot, Hasselt University, Agoralaan Gebouw D, 3590 Diepenbeek, Belgium; [tim.nawrot@uhasselt.be](mailto:tim.nawrot@uhasselt.be)

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## ABSTRACT

**Objective** Studies on the association between short-term exposure to ambient air pollution and heart rate variability (HRV) suggest that particulate matter (PM) exposure is associated with reductions in measures of HRV, but there is heterogeneity in the nature and magnitude of this association between studies. The authors performed a meta-analysis to determine how consistent this association is.

**Data source** The authors searched the Pubmed citation database and Web of Knowledge to identify studies on HRV and PM.

**Study selection** Of the epidemiologic studies reviewed, 29 provided sufficient details to be considered. The meta-analysis included 18667 subjects recruited from the population in surveys, studies from patient groups, and from occupationally exposed groups.

**Data extraction** Two investigators read all papers and computerised all relevant information.

**Results** The authors computed pooled estimates from a random-effects model. In the combined studies, an increase of 10  $\mu\text{g}/\text{m}^3$  in  $\text{PM}_{2.5}$  was associated with significant reductions in the time-domain measurements, including low frequency (−1.66%, 95% CI −2.58% to −0.74%) and high frequency (−2.44%, 95% CI −3.76% to −1.12%) and in frequency-domain measurements, for SDNN (−0.12%, 95% CI −0.22% to −0.03%) and for rMSSD (−2.18%, 95% CI −3.33% to −1.03%). Funnel plots suggested that no publication bias was present and a sensitivity analysis confirmed the robustness of our combined estimates.

**Conclusion** The meta-analysis supports an inverse relationship between HRV, a marker for a worse cardiovascular prognosis, and particulate air pollution.

## INTRODUCTION

A recent scientific report from the American Heart Association concluded that particulate matter (PM) is a modifiable risk factor contributing to cardiovascular morbidity and mortality.<sup>1</sup> We provided a novel insight that particulate air pollution is a relevant trigger for myocardial infarction at the community level.<sup>2–4</sup> Altered cardiac autonomic function as measured by heart rate variability (HRV) is considered to be one of the pathophysiological pathways through which PM air pollution influences the cardiovascular system.<sup>5,6</sup> Reduced HRV has been associated with an increased risk of myocardial infarction among the population<sup>7</sup> and has been considered as a predictor of increased risk of mortality in patients with heart failure.<sup>8</sup> The

importance of this pathway is still under debate.<sup>9,10</sup> Here we determine whether all the available observational data up to February 2012 support a positive association and how strong such a relationship between HRV and particulate air pollution may be.

## METHODS

### Data collection

We followed published guidelines for the reporting of this meta-analysis.<sup>11</sup> A systematic literature search of PubMed and Web of Knowledge, last accessed on 15 February 2012, was conducted to identify studies of HRV and air pollution published in English. In addition, we screened the reference list of all identified relevant publications and review articles found during our literature search. Two search terms were combined using the Boolean operator AND. The first term, air pollution, combined exploded versions of the Medical Subject Headings *air pollution*, *particulate matter* and *air pollutants*. The second term was heart rate variability.

### Study selection

Two investigators (NP and MP) read all papers and extracted and computerised the relevant information independently (table 1). Reviews, case-reports, pilot studies, animal studies, manuscripts not written in English and studies that reported another association were excluded. Out of 509 initially selected articles, 98 studies reported an association between HRV and air pollution. If a group published two or more papers based on the same study population ( $n=31$ ), only that publication providing the most detailed information was included. We selected only studies that used particulate matter with aerodynamic diameter of 10  $\mu\text{m}$  or less ( $\text{PM}_{10}$ ) or 2.5  $\mu\text{m}$  or less ( $\text{PM}_{2.5}$ ) as indicators of air pollution. Studies were also excluded when a controlled exposure was used. Where applicable, preference was given to results adjusted for age and heart rate and additional factors of proven importance.<sup>40</sup> Quality assessment of the selected studies was performed with consideration of the following aspects: study design, response rate, information about responders versus non-responders, sample size, statistical methods, correction for meteorological conditions and personal or local PM assessment (supplementary data).

### Statistical analysis

HRV was evaluated using different time-domain and frequency-domain measures, according to the



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**Table 1** Characteristics of the studies included in the meta-analysis

Author	Year	Population	Number of persons	Age	Men, %	Study design (measurements per person)	Exposure	Scale of HRV	Length of analysed ECG recordings	Average PM concentration, µg/m <sup>3</sup>
Liao <sup>12</sup>	1999	All   Without hypertension	4899	62.4±5.7 <sup>B</sup> 78 (65–84) <sup>A</sup>	43	Cross-sectional	24 h PM <sub>2.5</sub>	HF and LF Log	5 min	16.99±8.04 <sup>B*</sup>
Pope <sup>13</sup>	1999	With hypertension With CVD/respiratory disease	18 7	82 (69–89) <sup>A</sup> 75 (23–82) <sup>C</sup>	22 85.7	Longitudinal (4, 1)	24 h PM <sub>10</sub>	Lin	24 h	83.92 <sup>D*</sup>
Gold <sup>14</sup>	2000	Elderly	21	73.3 (53–87) <sup>C</sup>	48	Longitudinal (7, 76)	4 h PM <sub>2.5</sub>	Lin	5 min	15.3 (2.9–48.6) <sup>A</sup>
Brauer <sup>15</sup>	2001	With COPD	16	74 (54–86) <sup>A</sup>	43.8	Longitudinal (7)	24 h PM <sub>2.5</sub>	Lin	24 h	10.8±1.4 <sup>F</sup>
Magari <sup>16</sup>	2001	Occupational	33	38.1±12.9 <sup>B</sup>	100	Longitudinal (2)	4 h PM <sub>2.5</sub>	Log	24 h	223±2203 <sup>E</sup>
Holguin <sup>17</sup>	2003	Without hypertension	21	80 (65–96) <sup>A</sup>	52	Longitudinal (18)	24 h PM <sub>2.5</sub>	Log	5 min	30.4±9.9 <sup>B</sup>
Liao <sup>18</sup>	2004	With hypertension All Without hypertension	13 4899	78 (60–88) <sup>A</sup> 62.4±5.7 <sup>B</sup>	30 43	Cross-sectional	24 h PM <sub>10</sub>	HF and LF Log	5 min	16.99±8.04 <sup>B*</sup>
Pope <sup>19</sup>	2004	With hypertension	88	54–89 <sup>F</sup>	43	Longitudinal (2, 84)	24 h PM <sub>2.5</sub>	Log	24 h	23.7±20.2 <sup>B</sup>
Riediker <sup>20</sup>	2004	Elderly	9	27.3 (23–30) <sup>A</sup>	100	Longitudinal (4)	9 h PM <sub>2.5</sub>	Lin	10 min	23 (7.1–38.7) <sup>A†</sup>
Parik <sup>21</sup>	2005	Occupational, young	497	72.7±6.6 <sup>B</sup>	100	Cross-sectional	48 h PM <sub>2.5</sub>	Log	4 min	11.4±8.08 <sup>B</sup>
Schwartz <sup>22</sup>	2005	Elderly	27	74 (64–81) <sup>G</sup>	Predominately female	Longitudinal (12)	24 h PM <sub>2.5</sub>	Log	30 min	10 (7–17) <sup>G</sup>
Sullivan <sup>23</sup>	2005	With CVD	21	77 (57–85) <sup>C</sup>	43	Longitudinal (8, 38)	24 h PM <sub>2.5</sub>	Ln	20 min	10.7 (8.0–15.6) <sup>G</sup>
Lipsett <sup>6</sup>	2006	Without CVD	13	78 (72–87) <sup>C</sup>	46	Longitudinal (12)	6 h PM <sub>10</sub>	Log	24 h	19.7 (11.6–25.0) <sup>H</sup>
Luttmann-Gibson <sup>24</sup>	2006	With CAD	19	71.3±6.0 <sup>B</sup>	63.2	Longitudinal (21, 8)	24 h PM <sub>2.5</sub>	Log	30 min	20.0 (10.4–23.9) <sup>H</sup>
Timonen <sup>25</sup>	2006	General	32	70.8 (54–90) <sup>A</sup>	9	Longitudinal (10, 92)	72 h PM <sub>2.5</sub>	HF Ln	25 min	23.1 (10.5–27.4) <sup>H</sup>
Vallejo <sup>26</sup>	2006	With CAD, Amsterdam	37	71.5±8.3 <sup>B</sup>	65	Longitudinal (33)	3.5 h PM <sub>2.5</sub>	SDNN, rMSSD	13 h	12.7 (8.1–16.0) <sup>H</sup>
Riojas-Rodriguez <sup>27</sup>	2006	With CAD, Erfurt	47	64.6±8 <sup>B</sup>	91	Longitudinal (111)	11 h PM <sub>2.5</sub>	Ln	11 h	74 (49–111) <sup>G</sup>
Chuang <sup>28</sup>	2007	With CAD, Helsinki	47	68.3±6.4 <sup>B</sup>	55	Longitudinal (3)	24 h PM <sub>2.5</sub>	Ln	16 min	46.8±1.82 <sup>F</sup>
Chuang <sup>29</sup>	2007	Young	30	27 (21–35) <sup>A</sup>	27.5	Longitudinal (168)	4 h PM <sub>2.5</sub>	Log	24 h	31.8±10.6 <sup>B</sup>
Mih <sup>30</sup>	2008	General	76	55 (25–76) <sup>A</sup>	83	Cross-sectional	24 h PM <sub>10</sub>	Log	5 min	51.7±40.1 <sup>B</sup>
Folino <sup>31</sup>	2009	Elderly	46	20.8±1.2 <sup>B</sup>	66.7	Longitudinal (2, 7)	24 h PM <sub>2.5</sub>	Ln	24 h	23.25±13.3 <sup>B*</sup>
Whitset <sup>32</sup>	2009	General	1349	70.0±11.7 <sup>B</sup>	41	Longitudinal (3)	24 h PM <sub>2.5</sub>	Log	24 h	33.9±12.7 <sup>B</sup>
Zanobetti <sup>33</sup>	2009	With MI	39	44.3±21.9 <sup>B</sup>	43.63	Longitudinal (3)	24 h PM <sub>10</sub>	Log	10 s	19.6±0.14 <sup>B*</sup>
Parik <sup>34</sup>	2010	All	5470	60.3±4.8 <sup>B</sup>	92.3	Longitudinal (4)	2 h PM <sub>2.5</sub>	Log	12 h	8.92 (5.65–13.58) <sup>G</sup>
Schneider <sup>35</sup>	2010	Diabetes	770	64±0.1 <sup>B†</sup>	0	Cross-sectional	24 h PM <sub>2.5</sub>	Log	30 s	14.3 (10.2–20.4) <sup>G</sup>
Sult <sup>36</sup>	2010	Impaired fasting glucose	1559	57 (43–75) <sup>C</sup>	80.4	Longitudinal (7)	24 h PM <sub>2.5</sub>	Log	25 min	20.3±14.8 <sup>B</sup>
Wu <sup>37</sup>	2010	Normal fasting glucose	3141	62.2±10.9 <sup>B</sup>	46.8	Longitudinal (12)	24 h PM <sub>2.5</sub>	Log	12 h	16.54±9.33 <sup>B</sup>
		With COPD	46	66±6 <sup>B</sup>	100	Longitudinal (7)	24 h PM <sub>2.5</sub>	Log	12 h	14.65±7.7 <sup>B</sup>
		With MI	56	65 (55–73) <sup>H</sup>	38.9	Longitudinal (3)	2 h PM <sub>2.5</sub>	Log	12 h	56.6 (34.6–104.1) <sup>G</sup>
		Occupational	30	35.5 (27–41) <sup>A</sup>	83.3	Longitudinal (3)	2 h PM <sub>2.5</sub>	Log	12 h	56.6 (34.6–104.1) <sup>G</sup>
		Occupational	11		45					

Continued

Table 1 Continued

Author	Year	Population	Number of persons	Age	Men, %	Study design (measurements per person)	Exposure	Scale of HRV	Length of analysed ECG recordings	Average PM concentration, $\mu\text{g}/\text{m}^3$
He <sup>38</sup>	2011	General	106	56.23 $\pm$ 7.6 <sup>1B</sup>	40.57	Longitudinal (48)	6 h PM <sub>2.5</sub>	HF, LF Log rMSSD, SDNN Lin	24 h	13.21 $\pm$ 14.44 <sup>B</sup>
Jia <sup>39</sup>	2011	General	30	57.9 $\pm$ 5.4 <sup>B</sup>	40	Longitudinal (116)	24 h PM <sub>2.5</sub>	Log	24 h	37.52(0.19–214.5) <sup>1</sup>

Descriptive statistics were only given for all participants. No calculations could be made for rMSSD.

Population: description of persons included.

Age, Average PM concentration: A, arithmetic mean  $\pm$  SD; C, median (range); D, mean; E, geometric mean  $\pm$  SD; F, range; G, median (25%–75% percentile); H, arithmetic mean (25%–75% percentile); I, median (1%–99% percentile).

\*Average PM<sub>2.5</sub> concentration calculated with the assumption that PM<sub>10</sub> consists of 70% of PM<sub>2.5</sub>.

<sup>1</sup>Average age/PM<sub>2.5</sub> concentration during the first visit.

<sup>B</sup>Mass, after shift.

CAD, coronary artery disease; COPD, chronic obstructive pulmonary disease; CVD, cardiovascular disease; MI, myocardial infarction; NAS, Normative Aging Study; PM<sub>2.5</sub>, particulate matter with aerodynamic diameter of 2.5  $\mu\text{m}$  or less; PM<sub>10</sub>, particulate matter with aerodynamic diameter of 10  $\mu\text{m}$  or less. ||Descriptive statistics were only given for all participants. No calculations could be made for rMSSD.

measurements presented in the included studies. The time-domain measures include rMSSD, the square root of the mean squared difference of successive normal to normal intervals, and SDNN, the SD of the normal to normal intervals. Two spectral components were distinguished in the frequency-domain measurements: low frequency (LF) power and high frequency (HF) power. Many time- and frequency-domain variables measured over a 24-h ECG recording are strongly correlated with each other.<sup>41</sup> We obtained a meta-analytical effect estimate using random-effects models, because the Cochran Q-statistics showed evidence of heterogeneity greater than expected by the sampling variance alone ( $p < 0.1$ ). An effect estimate was derived from the point estimate of each separate study weighted by the inverse of the variance ( $1/\text{SE}^2$ ). When we had independent subgroups within a study, each subgroup was treated as a separate study. The effect size was calculated as a per cent change for an exposure increment of 10  $\mu\text{g}/\text{m}^3$  in PM<sub>2.5</sub>. The per cent change was calculated as  $(10^{\beta} - 1) \times 100\%$  with 95% CIs  $((10^{\beta \pm 1.96 \times \text{SE}}) - 1) \times 100\%$ , where  $10^x$  is the antilog,  $\beta$  is the estimated regression coefficient and SE is the SE of  $\beta$ , when HRV was log-transformed. The formula  $(1 - (\text{Average-}\beta)/\text{Average}) \times 100\%$  was used when there was no transformation. When data for only PM<sub>10</sub> were available,<sup>6 13 30 32</sup> we converted the per cent change with the assumption that PM<sub>10</sub> consists of 70% of PM<sub>2.5</sub>.<sup>2 42</sup> However, other conversions were considered in sensitivity analysis to determine what the effect would be on the overall estimate, supposing PM<sub>10</sub> consists of 60% or 80% of PM<sub>2.5</sub>.<sup>2</sup> All calculations were independently performed by two researchers (NP and MP).

Sensitivity of the findings was examined by performing the analysis both with and without the occupational studies.<sup>16 20 37</sup> The analysis was repeated separately for long-term (more than 18 h of analysable ECG recordings) and short-term (<1 h of analysable ECG recordings) ECG recordings. Three studies<sup>26 27 37</sup> could not be classified according to this distinction and were excluded from the short- and long-term analyses. We determined whether there was a difference in the combined effect size when only studies with a high quality score were included. We also tested the difference between a 24-h exposure and 48-h exposure on the HRV. Since both 24 h and 48 h measurements were used in some of these studies<sup>13 21 25 28 30</sup>, the effect size for the 48 h exposure, used in the sensitivity analysis, differed from the data given in table 1, figures 1 and 2. Further, we evaluated the influence of individual studies on pooled effect sizes by excluding one study at the time. If the point estimate of the combined effect size with one study omitted lies outside the CI of the overall estimate, the study in question has an excessive influence. We plotted the association size against the SE of the study. If there is no publication bias, such a plot must produce a funnel shape (funnel plot), because the points scatter around the true pooled value with the scattering narrowing as the sample size increases. All p values are two-sided tests.

A meta-regression was performed to investigate the effect of study design (longitudinal vs cross-sectional), mean age, mean PM<sub>2.5</sub> concentration, scale of HRV (log vs linear), percentage of men, year of publication and length of analysed ECG recordings on the combined effect of PM<sub>2.5</sub> on HRV.

## RESULTS

### Selection of studies

Of the studies reviewed 480 reports were excluded; 128 were duplicates, 174 were excluded based on screening the abstract, 24 studies were reviews, 57 studies reported on animal data, one study was a pilot-study, four publications were not written in

English, one study was a case report, 20 studies reported another association than PM and HRV, 19 studies with an estimated exposure from other measurements than the particulate air pollution (PM<sub>10</sub> or PM<sub>2.5</sub>), two did not provide sufficient information to compute the association size, 17 were experimental studies, 31 used the same study population as reports included in the analysis and two studies used PM measurements longer than 24 h (supplementary data). As a result, we identified 29 studies, comprising 18 667 persons, which investigated the association between HRV and PM. This selection includes 25 longitudinal studies,<sup>6 12–17 19–29 31–33 35–39</sup> including one exploratory study<sup>15</sup> and three occupational studies<sup>16 20 37</sup> and four cross-sectional designs.<sup>18 21 30 34</sup> These are listed in chronological order in table 1.

**Characteristics of studies**

All studies had a time window of PM measurements ranging from 2 h up to 1 day before HRV measurements. The length of ECG recordings varied from 10 s to 24 h. Whenever possible, preference was given to long-term ECG recordings (more than 18 h of analysable ECG recordings). The HRV measurements were expressed on a logarithmic scale in 23 studies<sup>6 12 16–19 21–30 32–37 39</sup> and on a linear scale in five studies.<sup>13–15 20 31</sup> One publication used both a linear and logarithmic scale.<sup>38</sup>

Only one study calculated the association between PM exposure and HRV without reporting possible confounders.<sup>15</sup> One study included potential confounders but did not report them.<sup>20</sup> In all but four reports<sup>19 25 31 35</sup> the results were adjusted for age.

Eleven publications did not adjust for sex.<sup>16 21 32 35</sup> However, in four<sup>16 21 32 35</sup> of these 11 reports, all subjects had the same sex. Most studies also considered additional confounding variables, such as heart rate,<sup>13 16–19 22 33 37 39</sup> BMI,<sup>14 18 21 26 28 29 32 34–36 39</sup> outdoor temperature,<sup>14 18 19 21–34 36–39</sup> relative humidity,<sup>14 18–21 23–31 33 34 36–39</sup> ethnicity<sup>14 18 24 32–34 38</sup> and (past) smoking.<sup>16 18 21 30–35</sup>

**Summary statistics**

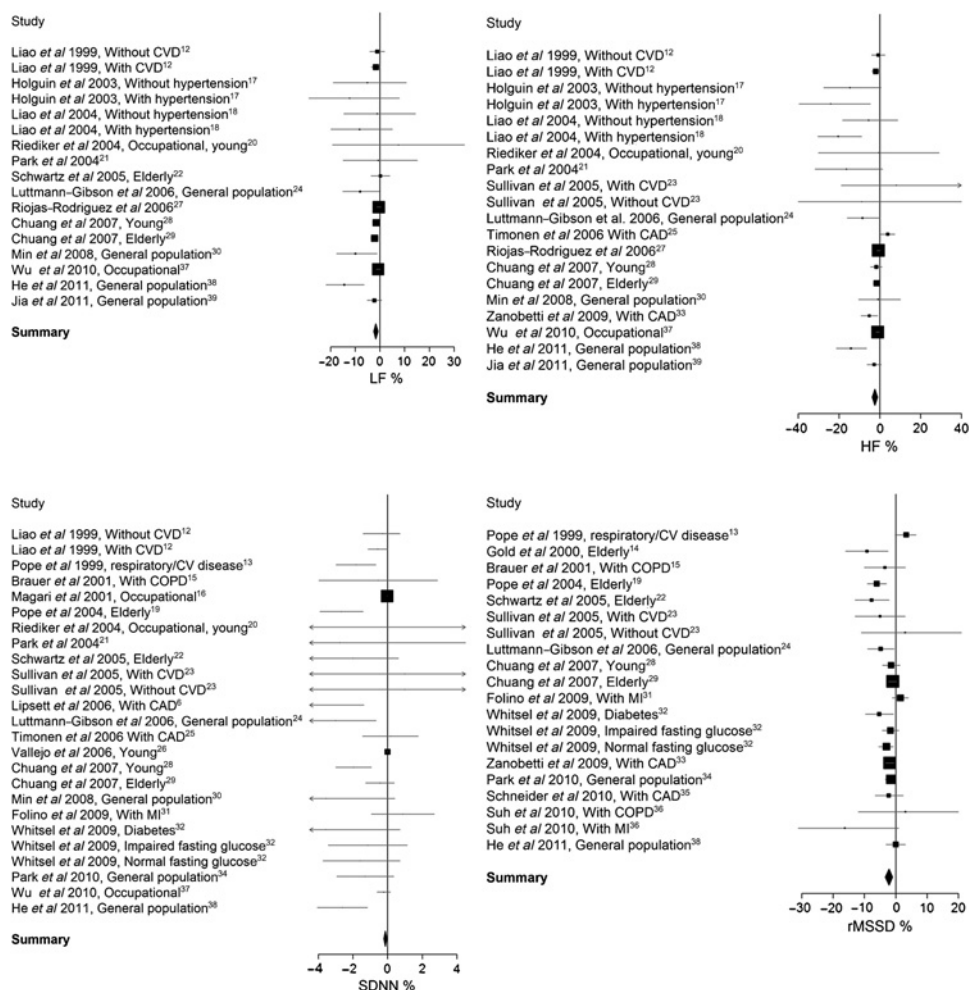
We evaluated HRV using both frequency- and time-domain measurements. The combined estimates calculated for a 10 µg/m<sup>3</sup> increase in PM<sub>2.5</sub> showed a decrease of 1.66% (95% CI –2.58% to –0.74%) and 2.44% (95% CI –3.76% to –1.12%) for LF and HF, respectively. The corresponding estimates for the time-domain measurements were –0.12% (95% CI –0.22% to –0.03%) for SDNN and –2.18% (95% CI –3.33% to –1.03%) in rMSSD (figure 1).

**Sensitivity analysis**

When the analysis was repeated without three occupational studies,<sup>16 20 37</sup> we found a minor difference in LF, –2.05% (95% CI –3.21% to –0.88%) and HF, –3.17% (95% CI –4.92% to –1.41%) for a 10 µg/m<sup>3</sup> increase in PM<sub>2.5</sub> (figure 2). However, the effect of exclusion of these three studies was much larger for SDNN, which results in a combined effect of –1.25% (95% CI –1.81% to –0.68%).

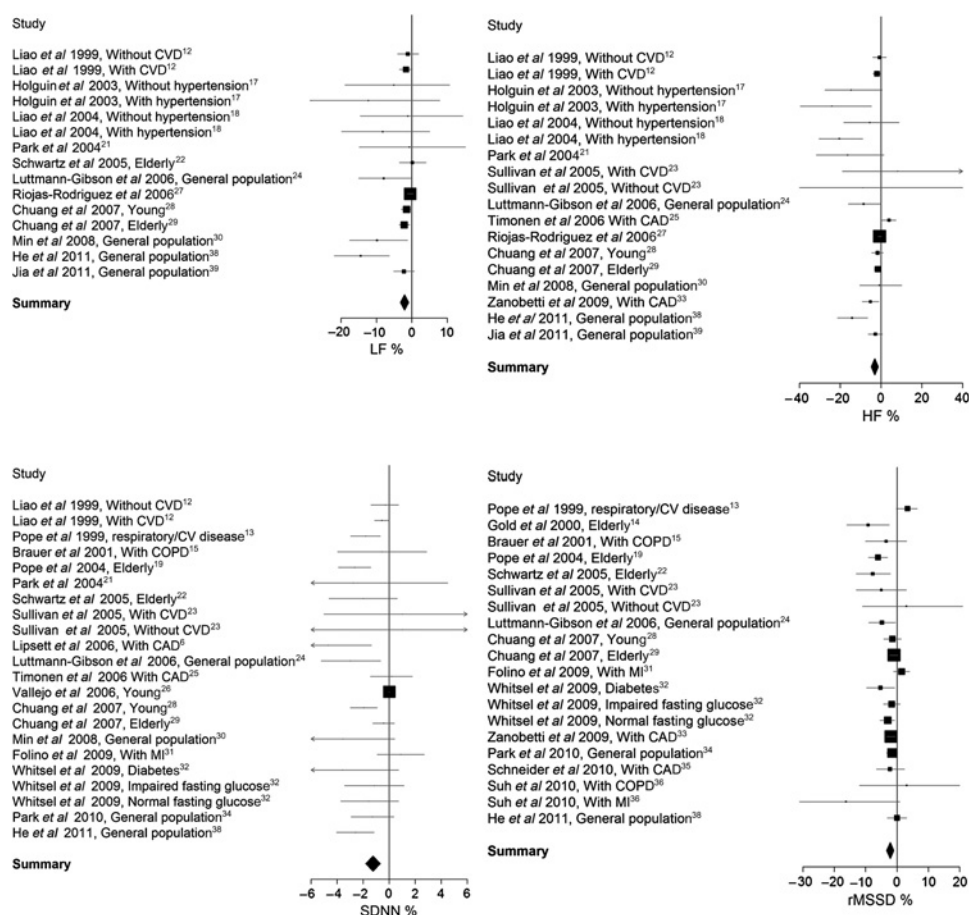
For LF and HF the majority of studies, 75% and 71% respectively, used short-term ECG recordings. When removing the

**Figure 1** Forests plots of change in parameter (95% CI) of heart rate variability associated with a 10 µg/m<sup>3</sup> increase in PM<sub>2.5</sub> with inclusion of occupational studies. Squares represent individual groups. The area of each square is proportional to the inverse of the variance. HF, high frequency; LF, low frequency; PM<sub>2.5</sub>, particulate matter with aerodynamic diameter of 2.5 µm or less; rMSSD, square root of the mean squared difference of successive normal to normal intervals; SDNN, SD of normal to normal intervals.





**Figure 2** Change in parameter (95% CI) of heart rate variability associated with a 10  $\mu\text{g}/\text{m}^3$  increase in  $\text{PM}_{2.5}$  without inclusion of occupational studies. Squares represent individual groups. The area of each square is proportional to the inverse of the variance. HF, high frequency; LF, low frequency;  $\text{PM}_{2.5}$ , particulate matter with aerodynamic diameter of 2.5  $\mu\text{m}$  or less; rMSSD, square root of the mean squared difference of successive normal to normal intervals; SDNN, SD of normal to normal intervals.



studies with long-term ECG recordings,<sup>29 33 38 39</sup> we found a decrease of 1.73% (95% CI -2% to -0.4%) and 1.75% (95% CI -3.2% to -0.29%) for LF and HF, respectively. When repeating the analysis separately for short-term<sup>12 20–25 28 30 32 34</sup> and long-term<sup>6 13 15 16 19 29 31 38</sup> recordings for SDNN, we observed a decrease in short-term and long-term recordings of -0.73% (95% CI -1.17% to -0.29%) and -1.39% (95% CI -2.37% to -0.41%), respectively. When including only short-term<sup>14 22–24 28 32 34 36</sup> recordings for rMSSD, we still found a decrease of 3.21 (95% CI -4.66% to -1.76%) for a 10  $\mu\text{g}/\text{m}^3$  increase  $\text{PM}_{2.5}$ . However, when including only the long-term<sup>13 15 19 31 33 35 38</sup> recordings of seven studies, no statistically significant association was found ( $p=21$ ) between rMSSD and  $\text{PM}_{2.5}$ . Excluding specific groups of patients suffering from cardiovascular diseases<sup>6 12 13 25 31 33 35 36</sup> from the analysis resulted in a similar combined effect estimate (table 2). The sensitivity analysis was also performed with only studies with a high quality score (more than the median score). The decrease was more pronounced for LF (-2.49%, 95% CI -4.32% to -0.36%) and HF (-5.74%, 95% CI -8.82% to -2.66%), similar for rMSSD (-1.89%, 95% CI -3.08 to -0.71%) but was no longer significant for SDNN (-0.05%, 95% CI -0.13% to 0.02%). When determining the effect of a 48-h exposure, we found a similar decrease in LF, HF and SDNN.

Also, when four studies<sup>18 21 30 34</sup> with a cross-sectional design were excluded the combined effect estimate was comparable (table 2). When studies with  $\text{PM}_{10}$  measurements were excluded from the analysis, the results were similar for all HRV parameters (table 2). The sensitivity of the findings was further examined by removing one study at a time from the analysis and recalculating the combined effect (supplementary data). For SDNN, we identified two studies<sup>16 26</sup> that moved the point

estimate outside the CI for the overall estimate with all available studies, including the occupational studies. Recalculating the per cent change, supposing that  $\text{PM}_{10}$  consists of 60% or 80% of  $\text{PM}_{2.5}$ , to determine what the effect would be on the overall estimate did not have a significant influence on the overall estimate (data not shown).

### Publication bias

The funnel plots searching for publication bias (supplementary data) did not reveal a deficit of small studies with negative results. Meta-regression revealed that study design (longitudinal vs cross-sectional), mean age, mean  $\text{PM}_{2.5}$  concentration, scale of HRV (log vs linear), percentage of men, year of publication and length of analysed ECG did not have a significant influence on the combined estimates; with exception for LF domain, the effects tended to be less strong in logarithmic studies ( $p=0.05$ ) and stronger in studies with more men ( $p=0.04$ ). For HF, the effects tended to be less strong for younger persons ( $p=0.03$ ).

### DISCUSSION

The key finding of the present meta-analysis was that HRV by means of SDNN, rMSSD, HF and LF was decreased after an elevation in exposure to particulate air pollution ( $\text{PM}_{2.5}$ ) of 10  $\mu\text{g}/\text{m}^3$ . These effects were calculated using 29 studies that met the inclusion criteria comprising 18 667 study participants. We did not observe statistical evidence that the combined effect estimates were largely driven by the three occupational studies.

A reduced HRV is a powerful and independent predictor of adverse prognosis in patients with heart disease<sup>43–45</sup> and in the general population.<sup>46 47</sup> It is a marker of cardiac autonomic

**Table 2** Sensitivity analysis

HRV parameter	Number of studies included	Number of subjects included	Combined estimate (95% CI)	p Value for Cochran Q-statistics	I <sup>2</sup> degree of heterogeneity (%)
<b>LF</b>					
All	14	7172	-1.66 (-2.58 to -0.74)	0.02	45.0
Excluding occupational studies	12	7152	-2.05 (-3.21 to -0.88)	0.0175	48.8
Excluding studies with long-term ECG measurements	9	6949	-1.73 (-2.73 to -0.73)	0.4618	0.0
Excluding groups with patients suffering from cardiovascular disease	16 groups	7154	-1.71 (-2.74 to -0.68)	0.0178	47.7
Excluding studies with a cross-sectional design	11	427	-1.51 (-2.4 to -0.68)	0.0246	48.7
Excluding studies with PM <sub>10</sub> measurements	11	924	-1.48 (-2.35 to -0.61)	0.0373	44.4
Excluding studies with a low quality score	8	6532	-2.49 (-4.36 to -0.62)	0.0121	57.4
<b>HF</b>					
All	16	7356	-2.44 (-3.76 to -1.12)	<0.0001	66.7
Excluding occupational studies	14	7336	-3.17 (-4.92 to -1.41)	<0.0001	70.1
Excluding studies with long-term ECG measurements	10	7087	-1.75 (-3.20 to -0.29)	0.0005	62.3
Excluding groups with patients suffering from cardiovascular disease	16 groups	7140	-2.84 (-4.32 to -1.36)	0.0001	66.1
Excluding studies with a cross-sectional design	13	611	-1.93 (-3.12 to -0.73)	0.0003	63.4
Excluding studies with PM <sub>10</sub> measurements	14	1108	-2.04 (-3.26 to -0.82)	0.0002	63.9
Excluding studies with a low quality score	9	6585	-5.74 (-8.82 to -2.66)	<0.0001	71.8
<b>SDNN</b>					
All	21	13 521	-0.12 (-0.22 to -0.03)	<0.0001	74.0
Excluding occupational studies	18	13 468	-1.25 (-1.81 to -0.68)	<0.0001	76.1
Excluding studies with long-term ECG measurements	11	13 167	-0.73 (-1.17 to -0.29)	0.0006	60.7
Excluding studies with short-term ECG measurements	8	354	-1.39 (-2.37 to -0.41)	<0.0001	84.5
Excluding groups with patients suffering from cardiovascular disease	19 groups	13 286	-0.08 (-0.17 to 0.004)	<0.0001	74.5
Excluding studies with a cross-sectional design	18	6210	-0.11 (-0.21 to -0.02)	<0.0001	75.6
Excluding studies with PM <sub>10</sub> measurements	17	6595	-0.07 (-0.14 to 0.005)	<0.0001	69.2
Excluding studies with a low quality score	12	12 666	-0.05 (-0.13 to 0.02)	<0.0001	73.6
<b>rMSSD</b>					
All	16	11 437	-2.18 (-3.33 to -1.03)	0.0007	57.8
Excluding occupational studies					
Excluding studies with long-term ECG measurements	8	11 033	-3.21 (-4.66 to -1.76)	0.1386	31.5
Excluding studies with short-term ECG measurements	8	404	-1.07 (-2.75 to 0.6)	0.0013	70.4
Excluding groups with patients suffering from cardiovascular disease	14 groups	11 256	-2.78 (-4.03 to -1.52)	0.022	48.3
Excluding studies with a cross-sectional design	15	5972	-2.29 (-3.56 to -1.02)	0.0004	60.0
Excluding studies with PM <sub>10</sub> measurements	15	11 349	-1.86 (-2.96 to -0.76)	0.0051	51.5
Excluding studies with a low quality score	9	11 293	-1.89 (-3.08 to -0.71)	0.0643	40.4

HF, high frequency; HRV, heart rate variability; LF, low frequency; PM<sub>10</sub>, particulate matter with aerodynamic diameter of 10 µm or less; rMSSD, square root of the mean squared difference of successive normal to normal intervals; SDNN, SD of normal to normal intervals.

dysfunction and a predictor of sudden cardiac death and arrhythmias.<sup>8</sup> A reduction of HRV has been reported in several cardiological and non-cardiologic diseases such as myocardial infarction, diabetic neuropathy, cardiac transplantation, myocardial dysfunction and tetraplegia.<sup>8</sup> HRV measurements are also promising as risk markers for fatigue,<sup>48</sup> ageing<sup>49</sup> and stress-situations.<sup>50</sup> Despite the important prognostic power of HRV, it is still not a widely used tool in diagnostic settings, and agreed normative values for HRV remain missing.<sup>51</sup> In terms of clinical significance the association between decreasing HRV with increasing air pollution is still under debate as the decrease in HRV can be a marker of cardiac disease or the cause of increased risk. The underlying mechanisms responsible for the association between fine PM exposure and impaired HRV are not yet fully understood. Substantial epidemiological literature links cardiovascular mortality and morbidity to exposures of ambient air pollution.<sup>52-55</sup> Two main candidate mechanisms are release of

pro-thrombotic and inflammatory cytokines from the lung, and effects on the electrical activity and autonomic function of the heart.<sup>54</sup> HRV is indicative for the physiological responses of the autonomic nervous system, of the combined sympathetic and parasympathetic activity. Alterations in autonomic control of the heart, as represented by HRV, may represent a major pathophysiological mechanism by which air pollution leads to cardiac mortality.<sup>55</sup> Two possible pathways can lead to changes in neural control of the heart affecting HRV by exposure to PM.<sup>55</sup> Inhaled particles can promote a systemic sympathetic stress response that leads to decreased HRV measurements and may cumulate in ventricular tachyarrhythmia. On the other hand, the inhaled particles can stimulate irritant receptors in the lung parenchyma and respiratory airways, which leads to the opposite, an increased parasympathetic vagal response and increased HRV.<sup>55</sup> Our combined estimate showed a decrease in all HRV parameters, which suggests an overall sympathetic

response. The combined effect was most pronounced for HF, which is highly correlated with rMSSD.<sup>15</sup> They both reflect parasympathetic cardiac vagal tone. A decrease in SDNN, an overall measure of changes in autonomic tone, also implies an increased risk of cardiac morbidity and mortality.

Dietrich *et al*<sup>56</sup> measured the effect of ETS on HRV through 24-h ECG recordings in 1218 non-smokers aged  $\geq 50$  years. Individuals who were passively exposed to environmental tobacco smoke at home or at work for more than 2 h/day had a decrease of 3.5% in SDNN, 0.14% in HF and 15.35% in LF. Compared with our meta-analytical estimates on HRV and PM<sub>2.5</sub>, the effects of passive smoking were more pronounced for SDNN and LF as these effects were -0.12% and -1.66% for a 10  $\mu\text{g}/\text{m}^3$  increase in PM<sub>2.5</sub>. For HF, the effect for PM was more pronounced; we found an effect of -2.44%. We should be aware that PM exposure is a continuous variable and the expression for a 10  $\mu\text{g}/\text{m}^3$  increase is a relatively small increase, as a difference of 30  $\mu\text{g}/\text{m}^3$  in acute exposure is still within the range of daily variation in many parts of the world.<sup>2</sup>

Since air particulates can provoke oxidative stress and an inflammatory response in the lung and heart,<sup>57</sup> oxidative stress is a potentially important cellular mechanism. A study by Schwartz *et al*<sup>58</sup> concluded that the effects of PM<sub>2.5</sub> on HF seemed to be mediated by reactive oxygen. The Normative Aging Study has shown a strong effect modification of the PM and HRV relationship by obesity and genes that modulate endogenous oxidative stress or xenobiotic metabolism, such as glutathione S-transferase M1, methylenetetrahydrofolate reductase and the haemochromatosis gene.<sup>58-60</sup> Additional findings suggest protective effects of statins, dietary antioxidants and B vitamins, as well as  $\omega$ -3 polyunsaturated fatty acids.<sup>58 59 61 62</sup> These findings imply that pathways that decrease endogenous oxidative stress have a protective effect that alleviates reductions in HRV due to exposure of particulate air pollution. An alternative potential mechanism is provided by Schulz *et al*<sup>63</sup> who found that an altered ion-channel function triggered by air pollution in myocardial cells can lead to cardiac malfunction.

Observational studies as included in our meta-analysis do not prove causation. However, repeated observation of an association in different populations and different subgroups showing the same or similar results suggest that the results of a single study are not due to coincidence. Our forest plots showed that the majority of studies showed a decrease in parameters of HRV in association with particulate air pollution. We showed consistent results between different study designs including cross-sectional studies, panel and repeated measure studies, which support a causal association. Furthermore, we observed heterogeneity between studies but our estimates were robust. Although in general the average concentration of occupational PM<sub>2.5</sub> exposure was much higher than environmental exposure, exclusion of three occupational studies<sup>16 20 37</sup> did not alter the combined estimate.

Although most studies report negative associations between time- or frequency-domain parameters of HRV and particulate air pollution, the magnitudes of the effect differ among these studies. We addressed the issue of heterogeneity between studies by computing pooled estimates from a random-effects model. Differences in magnitude between studies may be due to variation in the composition of PM or length of ECG recordings but also by including subgroups of populations on anti-inflammatory drugs or lacking anti-inflammatory defence (GSTM null<sup>58</sup>). The effects of PM<sub>2.5</sub> likely vary depending on pollution sources and particulate constituents; indeed, a multi-

centre study by Timonen *et al*<sup>25</sup> found that the effects of PM on HRV were dependent on local sources of PM. Increases in PM<sub>2.5</sub> concentration were associated with decreases in HF in Helsinki, but a similar increase in PM<sub>2.5</sub> was associated with an increase in HF in Erfurt. Although a 5-min measurement is recommended and highly reproducible,<sup>8 30</sup> the 24-h measurement includes the nocturnal period during which people in general have a very different autonomic regulation and which is mainly driven by the parasympathetic component.<sup>64</sup> However, when we performed a separate analysis for long-term and short-term recordings, we found a decrease for SDNN and rMSSD in both short-term and long-term recordings, although the decrease in long-term recording for rMSSD was statistically not significant ( $p=0.21$ ). Differences between studies in the HRV particulate air pollution association might also be explained by differences in the disease status of the subjects. Various disease processes (myocardial infarction, diabetes, chronic obstructive pulmonary disease) as well as physiological conditions, including ageing,<sup>65</sup> and drugs ( $\beta$ -blockers) alter autonomic control, and therefore change the HRV. However, when the study groups with subjects suffering from cardiovascular diseases were excluded, the combined estimate did not differ significantly from the effect found when these groups were included. Hence, the association between HRV and PM exposure is not only seen in susceptible subgroups. Contrary to our meta-analysis, a recent experimental study<sup>9</sup> found no effects of dilute diesel exhaust inhalation for 1 h on heart rhythm and HRV in healthy volunteers or in an 'at-risk' population of patients with stable coronary heart disease. Explanations for the discrepancy between these epidemiological data and negative results in controlled conditions may include too short exposure and difference pollution mixture. Indeed, most of the observational studies included an exposure window of 24 h. On the other hand, in the observational studies confounding or residual confounding by ambient temperature cannot be excluded. Of the included studies in our meta-analysis, 20 (69%) adjusted for meteorological conditions (see supplementary table 1).

To determine whether the combined effect is influenced by a particular publication, a sensitivity analysis was performed. HRV parameters were not strongly determined by one study.

Our main analysis included only studies which used a lag of  $\leq 24$  h of PM<sub>2.5</sub> or PM<sub>10</sub> exposure. However, we conducted a separate meta-analysis with studies reporting the effect of a 48-h exposure.<sup>13 21 25 28 30</sup> The combined effect estimate for 48 h was similar to the effect found for 24 h when occupational studies were excluded for LF, HF and SDNN. The effect of a 48-h exposure could not be calculated for rMSSD due to the lack of studies reporting rMSSD.

The present results should be interpreted within the context of their limitations. First, the analysis was not adjusted for variation in length of ECG recordings. On the other hand, a sensitivity analysis with the different lengths of the ECGs did not reveal differences in combined effect sizes according to length for LF, HF and SDNN. For rMSSD, the analysis stratified for short- and long-term recordings showed only significant results for short-term recordings. Third, if there was heterogeneity in the reporting strategy between studies, we had to address this issue by calculating the  $\beta$ -coefficients to percentages and calculated PM<sub>2.5</sub> from PM<sub>10</sub> by using the formulae as given in the Methods section. Also, different study designs were used in the combined estimate. Nevertheless, in the sensitivity analysis, we confirmed the robustness of the overall estimate by including only panel studies. In other words, the overall estimate was not strongly influenced by the included studies with a cross-



sectional nature. And last, generally accountable for meta-analysis is a publication bias in that studies with a positive result are more likely to be published than negative results. The funnel plots searching for publication bias did not reveal a deficit of small studies with negative results, suggesting that overall there was no publication bias.

The present meta-analysis shows an overall statistically significant inverse association between parameters of HRV and exposure to particulate air pollution, which might be relevant in biological terms. Indeed, the putative mechanisms of the acute effects of PM include sympathetic activation/parasympathetic withdrawal leading to haemostatic and haemodynamic changes that are recognised to increase the risk of cardiovascular events.

**Contributors** TN and NP designed the study. NP and MP performed the systematic literature review, constructed the database and analysed the data with help of BC and MK. NP drafted the first version of the manuscript together with MP and TN. All authors took part in the interpretation of the results and prepared the final version.

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